

## Sierpinski-curve Julia sets and singular perturbations of complex polynomials

PAUL BLANCHARD, ROBERT L. DEVANEY, DANIEL M. LOOK,  
PRADIPTA SEAL and YAKOV SHAPIRO

*Department of Mathematics, Boston University, 111 Cummington Street, Boston,  
MA 02215, USA  
(e-mail: bob@bu.edu)*

(Received 14 January 2004 and accepted in revised form 20 May 2004)

*Abstract.* In this paper we consider the family of rational maps of the complex plane given by

$$z^2 + \frac{\lambda}{z^2}$$

where  $\lambda$  is a complex parameter. We regard this family as a singular perturbation of the simple function  $z^2$ . We show that, in any neighborhood of the origin in the parameter plane, there are infinitely many open sets of parameters for which the Julia sets of the corresponding maps are Sierpinski curves. Hence all of these Julia sets are homeomorphic. However, we also show that parameters corresponding to different open sets have dynamics that are not conjugate.

### 1. Introduction

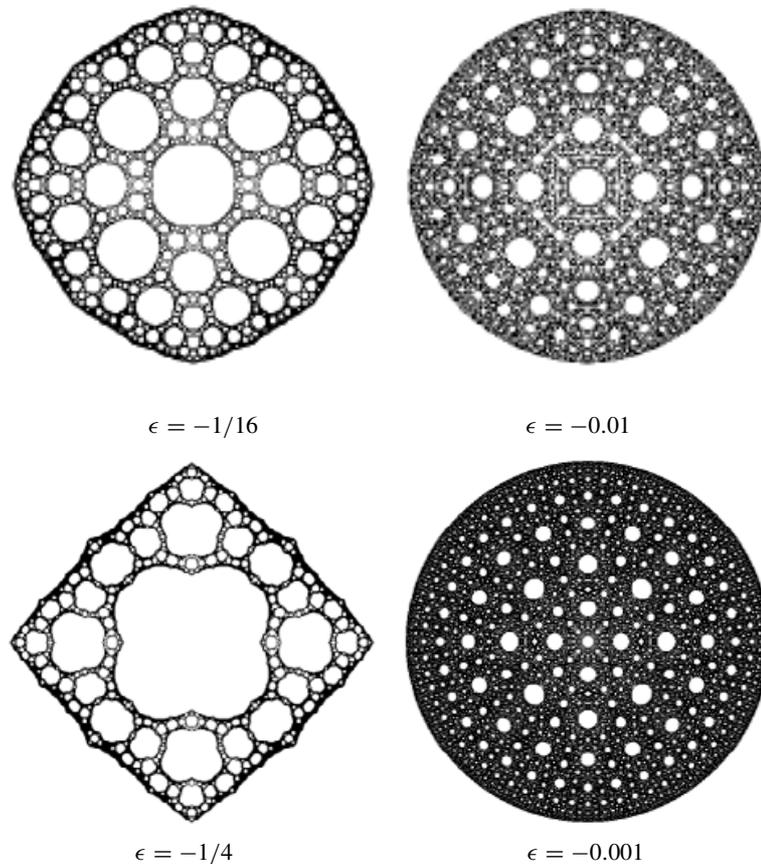
In this paper we consider the family of rational maps

$$F_\epsilon(z) = z^2 + \frac{\epsilon}{z^2},$$

where  $z \in \mathbb{C}$  and  $\epsilon$  is a parameter. Our goal is to investigate the Julia set of  $F_\epsilon$ , which we denote by  $J(F_\epsilon)$ . By definition,  $J(F_\epsilon)$  is the set of points in  $\mathbb{C}$  at which the family of iterates of  $F_\epsilon$  fails to be a normal family in the sense of Montel. Equivalently,  $J(F_\epsilon)$  is the closure of the set of repelling periodic points of  $F_\epsilon$ . It is also the set on which  $F_\epsilon$  behaves chaotically. The complement of the Julia set is called the Fatou set.

When  $\epsilon = 0$  we have the simple map  $F_0(z) = z^2$ , whose dynamics are well understood. This is a degree-two mapping of  $\mathbb{C}$  whose Julia set is the unit circle. All orbits in  $|z| < 1$  tend to the attracting fixed point at the origin; all orbits in  $|z| > 1$  tend to  $\infty$ . So the dynamics are quite simple in this case.

When  $\epsilon \neq 0$ , the map is now a degree-four rational map; we say that  $F_0$  has undergone a *singular perturbation* when  $\epsilon$  becomes non-zero. In this case, we witness a dramatic change in the dynamics of  $F_\epsilon$ . We shall prove the following.

FIGURE 1. The Julia sets for various values of  $\epsilon$ .

**THEOREM.** *In any neighborhood of the origin in the complex  $\epsilon$ -plane, there are infinitely many open sets  $\mathcal{O}_n$  such that, if  $\epsilon \in \mathcal{O}_n$ , the Julia set of  $F_\epsilon$  is a Sierpinski curve. Hence, any two such Julia sets are homeomorphic. However, if  $\epsilon_1$  and  $\epsilon_2$  lie in distinct  $\mathcal{O}_n$ 's, then the corresponding maps are not conjugate on their respective Julia sets.*

Recall that a *Sierpinski curve* is, by definition, a compact, connected, locally connected, nowhere-dense subset of the plane that has the property that any two boundaries of complementary domains are pairwise-disjoint simple closed curves. See Figure 1 for several examples of these types of Julia sets. The *Sierpinski carpet* is perhaps the most well known example of a Sierpinski curve; this set is obtained by dividing the unit square into nine equal-sized subsquares, and then removing the (open) middle square. Next, the open middle subsquare of each of the remaining eight smaller squares is removed, leaving 64 smaller closed subsquares. This process is repeated *ad infinitum* to produce the Sierpinski carpet.

Any two Sierpinski curves are homeomorphic. The importance of Sierpinski curves lies in the fact that they are universal objects in the sense that there is a homeomorphic

copy of any compact, connected, one-dimensional, planar set contained as a subset of any Sierpinski curve. See [9].

Julia sets that are Sierpinski curves have been observed in other complex dynamical systems. For example, building on work of Wittner [10], Milnor and Tan-Lei [7] have shown that there is a specific degree-two rational map having superattracting cycles of periods three and four for which the Julia set is a Sierpinski curve. The examples presented below are somewhat different. In our family we produce infinitely many open intervals  $I_n$  on the negative  $\epsilon$ -axis with  $n \geq 2$  for which the following properties hold for each  $\epsilon \in I_n$ :

- (1)  $J(F_\epsilon)$  is a Sierpinski curve;
- (2) there is a unique attracting cycle for  $F_\epsilon$ , namely the attracting fixed point at  $\infty$ ;
- (3) the complementary domains in the Sierpinski-curve Julia set are the components of the basin of attraction of  $\infty$ ;
- (4) all four non-zero critical points of  $F_\epsilon$  enter the immediate basin of attraction of  $\infty$  at iteration  $n$ .

The intervals  $I_n$  sit inside simply connected open regions  $\mathcal{O}_n$  in the complex  $\epsilon$ -plane. For any complex  $\epsilon \in \mathcal{O}_n$ , the map  $F_\epsilon$  has similar properties to those for  $\epsilon \in I_n$ .

McMullen [5] has considered the family of functions  $z^n + \epsilon/z^m$  in the case where  $n$  and  $m$  satisfy  $1/n + 1/m < 1$ . He finds that, with these restrictions on  $n$  and  $m$  and  $\epsilon$  sufficiently small, the Julia set of these maps is given by a Cantor set of simple closed curves surrounding the origin. Hence, the singular perturbation that arises in these cases is significantly different from the one that arises in our case. The case where  $n = 2$  but  $m = 1$  was discussed in [4]. Combining the techniques in that paper with those below shows that a similar collection of Sierpinski-curve Julia sets exists in this family when  $\epsilon$  is small.

## 2. Basic properties

In this paper we shall only consider the case where  $\epsilon < 0$ . However, many of the results are easily extended to the case of certain complex  $\epsilon$ . The following is a straightforward computation.

PROPOSITION. For each  $\epsilon < 0$ :

- (1)  $F_\epsilon$  has a single pole of order two at 0 and four pre-poles at  $(-\epsilon)^{1/4}$ ;
- (2) the point at  $\infty$  is a superattracting fixed point; we have  $F'_\epsilon(\infty) = 0$  and  $F''_\epsilon(\infty) \neq 0$ ;
- (3) the four non-zero critical points of  $F_\epsilon$  are given by  $\epsilon^{1/4}$ ;
- (4) the two critical values of  $F_\epsilon$  are given by  $\pm v(\epsilon) = \pm 2\sqrt{\epsilon}$ ;
- (5) the second iterates of the non-zero critical points all land on the same point, namely  $F_\epsilon(\pm v(\epsilon)) = 1/4 + 4\epsilon$ .

As we are primarily interested in the singular perturbation that occurs when  $\epsilon$  becomes non-zero, we henceforth restrict ourselves to the case where  $\epsilon$  belongs to the interval  $[-1/16, 0)$ . Many of the results below extend to certain values to the left of  $-1/16$  as well as to  $\epsilon$  complex.

The graph of  $F_\epsilon$  on the real axis shows that there is a pair of repelling fixed points in  $\mathbb{R}$ . See Figure 2. Let  $p = p(\epsilon)$  be the fixed point in  $\mathbb{R}^+$ . The graph of  $F_\epsilon$  also shows that the orbit of  $x \in \mathbb{R}$  tends directly to  $\infty$  if  $|x| > p$ .

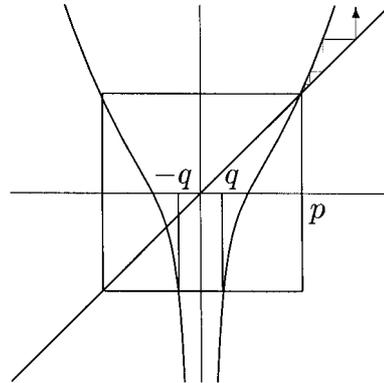


FIGURE 2. The graph of  $F_\epsilon$  on the real line for  $\epsilon = -1/16$ .

Let  $I_\epsilon$  denote the interval  $[-p, p]$ . Let  $\pm q(\epsilon) = \pm q \in I_\epsilon$  be the points for which  $F_\epsilon(\pm q) = -p$  so that  $F_\epsilon^2(\pm q) = p$ . If  $x \in (-q, q)$ , then  $F_\epsilon(x) < -p$  and  $F_\epsilon^2(x) > p$ . Hence,  $F_\epsilon^n(x) \rightarrow \infty$  for all  $x \in (-q, q)$ . We call this interval the *trapdoor* in  $\mathbb{R}$ ; any orbit in  $I_\epsilon$  that enters this open interval falls through the trapdoor and then tends to  $\infty$ .

The preimage of  $\mathbb{R}$  under  $F_\epsilon$  consists of the real and imaginary axes; each of these axes is mapped two-to-one over  $\mathbb{R}$ . The preimage of the imaginary axis consists of two sets: the four rays  $\theta = \pm\pi/4, \pm3\pi/4$  and the circle of radius  $r_\epsilon = |\epsilon|^{1/4}$  centered at the origin. Note that these rays meet this circle at the four critical points of  $F_\epsilon$ . Points on the circle given by  $r = r_\epsilon e^{i\theta}$  are mapped to points of the form  $2\sqrt{|\epsilon|}i \sin(2\theta)$  on the imaginary axis. Therefore, this circle is mapped in four-to-one fashion over the interval  $[-v(\epsilon), v(\epsilon)]$  on this axis (except at the endpoints). Each of the four rays is mapped in two-to-one fashion over either  $[v(\epsilon), \infty)$  or  $(-\infty, -v(\epsilon)]$  on the imaginary axis.

We now investigate the behavior of  $F_\epsilon$  near  $\infty$ .

**PROPOSITION.** For each  $\epsilon \in [-1/16, 0)$ , there is an invariant simple closed curve  $\gamma_\epsilon$  encircling the origin on which  $F_\epsilon$  is conjugate to the map  $z \rightarrow z^2$ . All orbits outside  $\gamma_\epsilon$  tend to  $\infty$ .

*Proof.* Consider the circle  $r = (3/4)e^{i\theta}$ . For  $z$  on this circle, we have

$$|F_\epsilon(z)| = \left| \frac{9}{16}e^{2i\theta} + \frac{16\epsilon}{9}e^{-2i\theta} \right| \leq \frac{9}{16} + \frac{1}{9} < \frac{3}{4}.$$

Let  $U$  be the set of  $z \in \overline{\mathbb{C}}$  such that  $|z| > 3/4$  and let  $U' = F_\epsilon^{-1}(U) \cap U$ . Then,  $F_\epsilon : U' \rightarrow U$  is a quadratic-like map (see [2, 8]). As a quadratic-like map on  $U'$ , its filled Julia set is

$$K_{F_\epsilon} = \{z \in U \mid F_\epsilon^n(z) \in U' \text{ for all } n\}.$$

Using the Douady–Hubbard theory of polynomial like maps (see [3], Theorem 1), we know that  $F_\epsilon$  is quasiconformally conjugate to a quadratic polynomial  $Q$  on a neighborhood of  $K_{F_\epsilon}$ . Since  $F_\epsilon|_{K_{F_\epsilon}}$  has a superattracting fixed point,  $Q(z) = z^2$ . The invariant curve  $\gamma_\epsilon$  is the image of the Julia set of  $Q$ , i.e. the unit circle, under the quasiconformal conjugacy.  $\square$

### 3. Sierpinski-curve Julia sets

In this section we first restrict attention to the special case where  $\epsilon = -1/16$ . We write  $F = F_{-1/16}$ . In this case the four critical points of  $F$  lie at the points  $\omega/2$  where  $\omega$  is a fourth root of  $-1$ . The critical values are  $\pm i/2$  and we have  $F(\pm i/2) = 0$ . Thus, the second iterate of each of the critical points lands on the pole at the origin; this is what makes the case  $\epsilon = -1/16$  special. There are pre-poles at  $\pm 1/2$  as well as at  $\pm i/2$ .

As in the previous section, let  $I$  denote the interval  $[-p, p]$ , where  $p$  is the repelling fixed point for  $F$  that lies in  $\mathbb{R}^+$ . Let  $\pm q \in I$  be the points for which  $F(\pm q) = -p$ , so that  $F^2(\pm q) = p$ . The open interval  $(-q, q)$  is the trapdoor in  $\mathbb{R}$ . Below, we show that the set of points whose orbits remain for all time in  $I$  forms a Cantor set; these are the only points in  $\mathbb{R}$  whose orbits do not escape to  $\infty$ .

As above, the preimage of  $\mathbb{R}$  under  $F$  consists of the real and imaginary axes while the preimage of the imaginary axis consists of two sets: the four rays  $\theta = \pm\pi/4, \pm 3\pi/4$  and the circle of radius  $1/2$  centered at the origin. Note that all four critical points as well as the four pre-poles lie on this circle. For this reason, we call the circle  $r = 1/2$  the *critical circle*. Points on the critical circle given by  $e^{i\theta}/2$  are mapped to points of the form  $(i/2) \sin(2\theta)$  on the imaginary axis. Therefore, this circle is mapped in four-to-one fashion over the interval  $[-1/2, 1/2]$  on this axis (except at the endpoints, which are the critical values). Each of the four rays is mapped in two-to-one fashion over either  $[1/2, \infty)$  or  $(-\infty, -1/2]$  on the imaginary axis.

Let  $\gamma$  denote the boundary of the basin of attraction of the superattracting fixed point at  $\infty$ . By the proposition in the previous section,  $\gamma$  is a simple closed curve on which  $F_\epsilon$  is conjugate to  $z \rightarrow z^2$ . Note that the immediate basin  $B$  of  $\infty$  is the exterior of  $\gamma$  and that  $F$  is two-to-one on this basin. Since  $F$  is conjugate to  $z^2$  on  $\gamma$ , there is a unique fixed point on  $\gamma$ . This must be the fixed point  $p \in \mathbb{R}$ , since we know that this point lies on the boundary of  $B$ .

One of the principal objects contained in the Julia set of  $F$  is a Cantor necklace. To define this set, we let  $\Gamma$  denote the Cantor middle-thirds set in the unit interval  $[0, 1]$ . We regard this interval as a subset of the real axis in the plane. For each open interval of length  $1/3^n$  removed from the unit interval in the construction of  $\Gamma$ , we replace this interval by a circle of diameter  $1/3^n$  centered at the midpoint of the removed interval. Thus, this circle meets the Cantor set at the two endpoints of the removed interval. We call the resulting set the *Cantor middle-thirds necklace*. See Figure 3. Any set homeomorphic to the Cantor middle-thirds necklace is called a *Cantor necklace*.

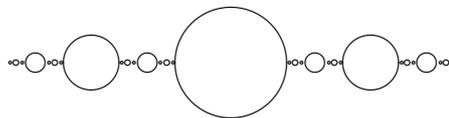


FIGURE 3. The Cantor middle-thirds necklace.

Let  $T$  denote the component of the preimage of  $B$  that contains the origin. We call  $T$  the *trapdoor* in  $\mathbb{C}$ . The function  $F$  maps  $T$  in two-to-one fashion (except at the pole at

the origin) onto  $B$ . The boundary of  $T$  which we call  $\tau$  is mapped in two-to-one fashion onto  $\gamma$ . Note that  $\tau$  and  $\gamma$  are disjoint; this follows from the fact that the circle of radius  $3/4$  about the origin is mapped strictly inside itself.

Let  $V_1$  denote the sector given in polar coordinates in the plane by  $-\pi/4 \leq \theta \leq \pi/4$ . Let  $V_2$  be the sector  $3\pi/4 \leq \theta \leq 5\pi/4$ . Let  $V = V_1 \cup V_2$ . Observe that, since the image of each of the rays bounding the  $V_j$  is the imaginary axis, these rays meet  $\gamma$  in exactly one point, namely a point whose image under  $F^2$  is  $-p$ .

Let  $U$  be the closed set  $V - (T \cup B)$ . The set  $U$  consists of two closed simply connected regions given by  $U_j = U \cap V_j$  for  $j = 1, 2$ .

**PROPOSITION.** *Let  $\Lambda_U$  be the set of points whose orbits remain for all forward iterations in  $U$ . Then  $\Lambda_U$  is a Cantor set lying on the real axis and  $F|_{\Lambda_U}$  is conjugate to the one-sided shift on two symbols.*

*Proof.* Each of the  $U_j$  are mapped in essentially one-to-one fashion onto the complement of  $B$  in  $\mathbb{C}$ . Technically,  $F$  maps the boundary lines of the  $V_j$  in  $U_j$  in two-to-one fashion onto the intervals  $[\pm 1/2, \pm \zeta]$  on the imaginary axis, where  $\pm \zeta$  denotes the point of intersection of this axis with  $\gamma$ . The map is one-to-one at all other points in  $U_j$ . Also, the portion of the critical circle  $r = 1/2$  in each  $U_j$  is mapped onto the interval  $(-1/2, 1/2)$  on the imaginary axis. Note that each of these intervals lies in the complement of the  $U_j$ . Let  $\mathcal{O}$  be the complement of  $B$  minus the intervals  $[\pm 1/2, \pm \zeta]$  on the imaginary axis. So, we have a pair of well-defined inverses  $G_j$  of  $F$  that map  $\mathcal{O}$  into  $U_j$ . Standard arguments then show that these inverses are contractions in the Poincaré metric on  $\mathcal{O}$ . (Technically, we must remove small strips along the imaginary axis and also fatten  $\gamma$  in order to find a simply connected region on which the Poincaré metric resides.) Moreover, for any one-sided sequence  $(s_0 s_1 s_2 \dots)$  of 1's and 2's, the set

$$\bigcap_{j=0}^{\infty} G_{s_0} \circ \dots \circ G_{s_j}(\mathcal{O})$$

is a unique point and the map that takes the sequence  $(s_0 s_1 s_2 \dots)$  to this point defines a homeomorphism between the space of one-sided sequences of 1's and 2's and  $\Lambda_U$ . Hence,  $\Lambda_U$  is a Cantor set and, just as in the case of quadratic polynomials with critical orbits that escape to  $\infty$  (see [1, 6]),  $F|_{\Lambda_U}$  is conjugate to the one-sided shift on two symbols. Since the real axis is invariant and mapped over itself in two-to-one fashion in  $U$ , this Cantor set necessarily lies along this axis. □

Now, let  $W$  denote the union of  $\overline{T}$ ,  $\gamma$  and  $U$ . Clearly,  $W$  is a closed subset of  $\overline{\mathbb{C}}$ . The function  $F$  maps  $W$  in essentially a two-to-one fashion over  $\overline{\mathbb{C}}$ . The exceptions are:

- (1)  $\infty$ , which has only one preimage in  $W$ ;
- (2)  $\gamma$ , together with the open intervals from  $\pm i/2$  to  $\gamma$  on the imaginary axis, each point of which has four preimages in  $W$ .

We claim that the set of points  $\Lambda_W$  whose orbits remain for all forward iterations in  $W$  is the union of  $\gamma$  and a Cantor necklace connecting the points  $\pm p$  and lying along the real axis.

To see this, note that if  $z \in \Lambda_W$ , then either the orbit of  $z$  lands on  $\gamma$  or the orbit of  $z$  remains for all time in the  $U_j$ . In the latter case,  $z$  is in the Cantor set  $\Lambda_U$  lying on the real axis. In the former case,  $z$  lies on one of the preimages of  $\tau$ . We claim that these preimages form the ‘circles’ making up the Cantor necklace.

To see this, consider the closed subset  $\overline{T} \cup U$  in  $W$ . This set resembles a ‘bow tie’. The preimage of this bow tie is a pair of closed, simply connected regions, one in each of the  $U_j$ . Note that each of these preimages is a homeomorphic copy of  $\overline{T} \cup U$  that meets both  $\gamma$  and the boundary of  $T$  in an arc. That is, each preimage is a smaller bow tie extending across one of the  $U_j$ . In particular,  $\overline{T}$  has a pair of preimages, one in each of the  $U_j$ . The interior of the preimages of  $\overline{T}$  is mapped into the trapdoor by  $F$ .

Now we continue: the second preimage of the bow tie consists of four smaller bow ties, each containing a second preimage of the trapdoor, and each connecting either  $\gamma$  or  $\tau$  to the preimage of the trapdoor. Continuing in this fashion, we have the following.

**PROPOSITION.** *The set of points whose orbit remains for all time in  $W$  is a Cantor necklace extending from  $-p$  to  $p$  along the real axis, together with the simple closed curve  $\gamma$ .*

We now turn to the structure of the Julia set of  $F$ . Let  $S = \overline{\mathbb{C}} - B$ . Since the orbit of each critical point eventually enters  $B$ , it follows that all of the stable domains in the complement of the Julia set have this property. Hence,  $J(F)$  is the set of points whose orbits remain for all time in  $S$ . That is,  $J(F)$  is the complement of the basin of attraction of  $\infty$ . Now, the points whose orbits leave  $S$  must lie in one of the preimages of the trapdoor  $T$ . Each preimage of  $T$  is a finite union of disjoint, open, simply connected sets. Thus,  $J(F)$  is just  $S$  with countably many open disks removed. Hence,  $J(F)$  is connected. Since all critical points tend to  $\infty$ , it is also known that  $J(F)$  is locally connected. Also,  $J(F)$  is nowhere dense, for if  $J(F)$  contains an open subset, then it must be all of  $\mathbb{C}$ , which it is not. See [1] for these standard facts about the Julia set.

It remains for us to show that the boundaries of all of the complementary domains in  $J(F)$  are pairwise-disjoint. Note that this is indeed the case along the real axis, where the boundaries of the complementary domains are just the endpoints of the Cantor set.

Now, each of these complementary domains in  $S$  is a particular preimage of the trapdoor. The preimage of  $\gamma$  (not equal to  $\gamma$ ) is  $\tau$ , which we now denote by  $F^{-1}(\gamma)$ . We have that  $\gamma$  and  $F^{-1}(\gamma)$  are disjoint, since we know that  $F$  maps the circle of radius  $3/4$  strictly inside itself. Hence,  $F^{-1}(\gamma)$  lies inside this circle and so is disjoint from  $\gamma$ . The function  $F$  maps the annular region in  $S$  lying between  $\gamma$  and  $F^{-1}(\gamma)$  onto  $S$ , with both of the boundary curves mapped onto  $\gamma$ . Call this annular region  $A_1$ . Hence, the preimages of  $\overline{T}$  lie in the interior of  $A_1$  and so their boundary curves are disjoint from  $\gamma$  and  $F^{-1}(\gamma)$ . Since none of the critical points lie in these preimages, it follows that these boundary curves are pairwise-disjoint and each is mapped homeomorphically onto  $\tau$ . Call these boundary curves  $F^{-2}(\gamma)$ .

Now, remove from  $A_1$  each of the four open regions bounded by  $F^{-2}(\gamma)$ . The remaining set  $A_2$  is a disk with five holes (counting  $T$ ). The boundary curves of  $A_2$  are mapped to the boundary curves of  $A_1$  and so the preimages of  $F^{-2}(\gamma)$  lie in the interior of  $A_2$ . There are only twelve such preimages, since four of the preimages contain

critical points and these are mapped two-to-one onto their images. Nonetheless, each is contained in the interior of  $A_2$  and so their bounding curves are disjoint from the previous boundaries. They are also pairwise-disjoint, since there are no critical points along these boundaries.

Continuing in this fashion, we see that all of the preimages of  $\gamma$  are disjoint from each other. We have thus shown that  $J(F)$  is a Sierpinski curve.

For the more general case, we consider  $\epsilon$  in the interval  $(-1/16, 0)$ . We assume, further, that there exists  $n \geq 2$  such that the  $n$ th iterate of  $F_\epsilon$  maps each of the critical values into the trapdoor  $T_\epsilon$ , that is,  $F_\epsilon^n(\epsilon^{1/4}) \in (-q(\epsilon), q(\epsilon)) \subset T_\epsilon$ . The proof that there is an invariant Cantor set on the real line goes through without change. The only modification necessary to prove the existence of a Cantor necklace along  $\mathbb{R}$  is to note that the images of the rays bounding the  $V_j$  are now intervals in the imaginary axis extending from  $\pm 2\sqrt{|\epsilon|}$  to the points  $\pm \zeta_\epsilon$  on  $\gamma_\epsilon$ . Also, the image of the critical circle  $r = |\epsilon|^{1/4}$  in each  $U_j$  is now the interval  $[-2\sqrt{|\epsilon|}, 2\sqrt{|\epsilon|}]$  along the imaginary axis. The images of the critical values are given by  $1/4 + 4\epsilon$ ; so,  $0 < F_\epsilon^2(\epsilon^{1/4}) < 1/4$ . Therefore,  $0 < F_\epsilon^2(\epsilon^{1/4}) < p(\epsilon)$  for each  $\epsilon$  in the interval  $(-1/16, 0)$ , since  $p(\epsilon)$  lies outside the circle of radius  $3/4$ . In particular, the critical values do not lie in  $B_\epsilon$ . The proof now goes through as above. We have proved the following.

**THEOREM.** *If  $\epsilon \in [-1/16, 0)$  and  $F_\epsilon^n(\epsilon^{1/4})$  lies in the trapdoor for some  $n$ , then  $J(F_\epsilon)$  is a Sierpinski curve.*

If the orbit of the critical point meets the boundary of the trapdoor, then certain preimages of the trapdoor have boundaries that meet at a single point. This point is one of the critical points (or their preimages). Hence,  $J(F_\epsilon)$  is not a Sierpinski curve in this case.

4. *Conjugacy questions*

We continue to deal with the case where  $\epsilon$  is negative with  $-1/16 \leq \epsilon < 0$ . Let  $T_\epsilon$  and  $B_\epsilon$  be the trapdoor and the basin of  $\infty$  respectively for  $F_\epsilon$ . Let  $c_\epsilon$  be any of the four critical points of  $F_\epsilon$ . We have

$$F_\epsilon^2(c_\epsilon) = 4\epsilon + \frac{1}{4}.$$

Thus, after two iterations, each of the critical points lands on the same point on the real axis.

**PROPOSITION.** *There is an increasing sequence  $\epsilon_2, \epsilon_3, \dots$  with  $\epsilon_j \rightarrow 0$  and  $F_{\epsilon_j}^j(c_{\epsilon_j}) = 0$ .*

*Proof.* Since  $F_\epsilon^2(c_\epsilon) = 4\epsilon + 1/4$ , it increases monotonically toward  $1/4$  as  $\epsilon \rightarrow 0$ . Now, the orbit of  $1/4$  remains in  $\mathbb{R}^+$  under  $F_0$  and decreases monotonically to  $0$ . Hence, given  $N$ , for  $\epsilon$  sufficiently small,  $F_\epsilon^j(c_\epsilon)$  lies in  $\mathbb{R}^+$  for  $2 \leq j \leq N$  and, moreover, this finite sequence is decreasing.

Now, suppose  $\beta < \alpha < 0$ . We have  $F_\beta(x) < F_\alpha(x)$  for all  $x \in \mathbb{R}^+$ . Also,  $F_\beta^2(c_\beta) < F_\alpha^2(c_\alpha) < 1/4$ . Hence,  $F_\beta^j(c_\beta) < F_\alpha^j(c_\alpha)$  for all  $j$  for which  $F_\beta^j(c_\beta) \in \mathbb{R}^+$ . The result then follows by continuity of  $F_\epsilon$  with respect to  $\epsilon$ . □

Note that  $\epsilon_2 = -1/16$ . If  $\epsilon_2 < \epsilon < 0$ , then the proposition in §1 shows that the boundary  $\gamma_\epsilon$  is a simple closed curve and  $F_\epsilon|_{\gamma_\epsilon}$  is conjugate to  $z \rightarrow z^2$ .

Using the previous proposition, we may find open intervals  $I_j$  about  $c_j$  for  $j = 2, 3, \dots$  having the property that, if  $\epsilon \in I_j$ , then  $F_\epsilon^j(c_\epsilon) \in T_\epsilon$ , and so  $F_\epsilon^{j+1}(c_\epsilon) \in B_\epsilon$ . Therefore,  $F_\epsilon^n(c_\epsilon) \rightarrow \infty$  as  $n \rightarrow \infty$ , and so  $J(F_\epsilon)$  is a Sierpinski curve.

Now, let  $C(c_\epsilon)$  denote the component of the Fatou set of  $F_\epsilon$  containing  $c_\epsilon$ . Note that  $F_\epsilon$  is two-to-one on each of the four components containing these critical points, and we have  $F_\epsilon^j(C(c_\epsilon)) = T_\epsilon$ . Now, suppose that  $F_\epsilon|J(F_\epsilon)$  is conjugate to  $F_\alpha|J(F_\alpha)$  for some  $\alpha \in \bigcup I_j$ . This conjugacy must take the boundaries of  $B_\epsilon$  and  $T_\epsilon$  to the corresponding boundaries of  $B_\alpha$  and  $T_\alpha$ . Similarly, the boundaries of the four regions  $C(c_\epsilon)$  must be mapped to the corresponding regions by the conjugacy, since these are the only complementary domains (besides  $B_\epsilon$  and  $T_\epsilon$ ) on which  $F_\epsilon$  is two-to-one. If, however,  $\epsilon \in I_j$  and  $\alpha \in I_k$  with  $j \neq k$ , then these maps cannot be conjugate, since a conjugacy maps each of the  $j$ th preimages of the  $T_\epsilon$  to one of the  $j$ th preimages of  $T_\alpha$ . Such a conjugacy would also have to map boundaries of domains on which  $F_\epsilon$  and  $F_\alpha$  were two-to-one to each other. Since  $j \neq k$ , this is impossible. We therefore have the following.

**THEOREM.** *Let  $\epsilon \in I_j$  and  $\alpha \in I_k$  with  $j \neq k$ . Then,  $F_\epsilon$  is not conjugate to  $F_\alpha$  on their corresponding Julia sets.*

*Acknowledgements.* The authors wish to thank John Mayer and James Rogers for helpful conversations regarding the topological properties of Sierpinski curves, and Curt McMullen, John Milnor and Kevin Pilgrim regarding their appearance in complex dynamics.

#### REFERENCES

- [1] P. Blanchard. Complex analytic dynamics on the Riemann sphere. *Bull. Amer. Math. Soc.* **2**(1) (1984), 85–141.
- [2] A. Douady and J. Hubbard. Itération des Polynômes quadratiques complexes. *C. R. Acad. Sci. Paris* **29**, Serie I (1982), 123–126.
- [3] A. Douady and J. Hubbard. On the dynamics of polynomial-like mappings. *Ann. Sci. École Norm. Sup.* **4**(18) (1985), 287–343.
- [4] R. Devaney, K. Josic and Y. Shapiro. Singular perturbations of quadratic maps. *Int. J. Bifurcation and Chaos* **14** (2004), 161–169.
- [5] C. McMullen. Automorphisms of rational maps. *Holomorphic Functions and Moduli*, Vol. 1 (*Math. Sci. Res. Inst. Publ.* 10). Springer, New York, 1988.
- [6] J. Milnor. *Dynamics in One Complex Variable*. Vieweg, Braunschweig, Germany, 1999.
- [7] J. Milnor and L. Tan. A ‘Sierpinski carpet’ as Julia set. Appendix F in geometry and dynamics of quadratic rational maps. *Experiment. Math.* **2** (1993), 37–83.
- [8] D. Sullivan. Quasiconformal maps and dynamical systems I, Solutions of the Fatou–Julia problem on wandering domains. *Ann. Math.* **122** (1985), 401–418.
- [9] G. T. Whyburn. Topological characterization of the Sierpinski curve. *Fund. Math.* **45** (1958), 320–324.
- [10] B. Wittner. On the bifurcation loci of rational maps of degree two. *Thesis*, Cornell University, 1988.